

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



February 21, 2020

Jeffrey T. Linam  
Vice President of Rates & Regulatory  
California-American Water Company  
4701 Beloit Drive  
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 59-S-A (Supplement to Advice Letter No. 59-S), filed on January 17, 2020, regarding the 2020 Step Rates for the Monterey Waste Water District.

Enclosed are copies of the following revised tariff sheets for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
251-S	Schedule No. SA, Monterey County Sewer Service Active Sewer Service
252-S	Schedule No. SA (Continued), Monterey County Sewer Service Active Sewer Service
253-S	Schedule No. SP, Monterey County Sewer Service Passive Sewer Service
254-S	Schedule No. WW-LIRA (Continued), Monterey County Sewer Service Low Income Ratepayer Assistance Program
255-S	Table of Contents, (Page 1)

Please contact Bradley Leong at 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant  
Water & Sewer Advisory Branch  
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

<b>Utility Name:</b> California American Water	<b>Date Mailed to Service List:</b> January 17, 2020
<b>District:</b> Monterey Waste Water	
<b>CPUC Utility #:</b> U210W	<b>Protest Deadline (20<sup>th</sup> Day):</b> December 2, 2019
<b>Advice Letter #:</b> 59-S-A	<b>Review Deadline (30<sup>th</sup> Day):</b> December 12, 2019
<b>Tier</b> <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> Compliance	<b>Requested Effective Date:</b> January 1, 2020
<b>Authorization</b> D.18-12-021	
<b>Description:</b> Supplemental advice letter - Monterey Waste Water 2020 Step Rates	<b>Rate Impact:</b> \$See AL See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Kamilah Jones  
**Phone:** 916-568-4232  
**Email:** Kamilah.Jones@amwater.com

**Utility Contact:** Jonathan Morse  
**Phone:** 916-568-4237  
**Email:** jonathan.morse@amwater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



4701 Beloit Drive  
Sacramento, CA 95838  
[www.amwater.com](http://www.amwater.com)

P (916)-568-4251  
F (916) 568-4260

January 17, 2020

ADVICE LETTER NO. 59-S-A

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Monterey County Sewer Service which are attached hereto:

<u>C.P.U.C. Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling Sheet No.</u>
251-S	Schedule No. SA Monterey County Sewer Service ACTIVE SEWER SERVICE	246-S
252-S	Schedule No. SA (Continued) Monterey County Sewer Service ACTIVE SEWER SERVICE	247-S
253-S	Schedule No. SP Monterey County Sewer Service PASSIVE SEWER SERVICE	248-S
254-S	Schedule No. WW-LIRA (Continued) Monterey County Sewer Service LOW INCOME RATEPAYER ASSISTANCE PROGRAM	232-S
255-S	TABLE OF CONTENTS (Page 1)	250-S

**Purpose:**

The purpose of the supplemental advice letter filing is to correct the Monthly Flat Rate for Passive Sewer Service. There are no other changes included in this supplement.

The purpose of this advice letter filing is to request approval of the 2020 step rates for Monterey County Sewer Service in accordance with Decision (D.) 18-12-021. This advice letter requests implemented rates for escalation year 2020 be made effective and implemented in accordance with California Public Utilities Commission (Commission) Decision (D.) 18-12-021.

**Background:**

Submission of these tariffs is made in compliance with Ordering Paragraphs 42 and 43 of Decision (D.)18-12-021 dated December 13, 2018 which states:

42. *California-American Water Company (Cal-Am) is directed to file escalation filings for attrition years 2019 and 2020 through appropriate Tier 1 advice letter filings in conformance with General Order 96-B and the advice letter procedures found in Section VII of Appendix A attached to Decision (D.) 07-05-062 for every district where there is a projected decrease in rates. Cal-Am may also file escalation filings for 2019 and 2020 pursuant to these procedures for every district where there is a projected increase in rates. D.07-05-062 requires escalation filings to be filed no later than 45 days prior to the start of the escalation year. In light of the effective date of this decision, any escalation filing for attrition year 2019 shall instead be filed within 90 days from the effective date of this decision and shall be effective 45 days from the date of filing.*
43. *California-American Water Company is authorized to revise tariff schedules, and to concurrently cancel its present schedules for such service upon the effective date of its 2019 escalation filing. The revision of tariff schedules for authorized rates in 2018 shall be included and subsumed in California-American Water Company's escalation filing for attrition year 2019. The advice letter shall include all calculations and documentation necessary to support the requested rate changes for both authorized 2018 rates and their escalation for 2019.*

**Request:**

This advice letter filing is to request implementation of the Monterey County Sewer Service's 2020 step increase. As shown the earnings test and workpapers for the twelve months ended September 30, 2019, the district earned a rate of return of 7.56% on a recorded basis and 7.02% on a "pro forma" basis. The most recent authorized rate of return per D.18-03-035 was 7.61% adjusted to 7.63% to match the designated pro forma period.

In compliance with Decision D.18-12-021 California American Water requests, approval of all submitted tariff revisions.

**Tier Designation:**

This advice letter is submitted pursuant to General Order No. 96-B and D.18-12-021 and is designated as a Tier 1 filing.

**Effective Date:**

California American requests an effective date of January 1, 2020.

**RESPONSE OR PROTEST**<sup>1</sup>

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

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<sup>1</sup> G.O. 96-B, General Rule 7.4.1

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds<sup>2</sup> are:

- (1) The utility did not properly serve or give notice of the AL;
- (2) The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the AL contain material error or omissions;
- (4) The relief requested in the AL is pending before the Commission in a formal proceeding; or
- (5) The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- (6) The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Cal-Am at:

**Email Address:**

[Kamilah.Jones@amwater.com](mailto:Kamilah.Jones@amwater.com)

[legalteamca@amwater.com](mailto:legalteamca@amwater.com)

[Jonathan.Morse@amwater.com](mailto:Jonathan.Morse@amwater.com)

**Mailing Address:**

4701 Beloit Drive  
Sacramento, CA 95838

555 Montgomery Street, Suite 816  
San Francisco, CA 94111

4701 Beloit Drive  
Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be

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<sup>2</sup> G.O. 96-B, General Rule 7.4.2

entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**<sup>3</sup>

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

If you have not received a reply to your protest within 10 business days, please contact Kamilah Jones at (916) 568-4232.

CALIFORNIA-AMERICAN WATER COMPANY

*/s/ Jeffrey T. Linam*

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Jeffrey T. Linam  
Vice President of Rates & Regulatory

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<sup>3</sup> G.O. 96-B, General Rule 7.4.3

Schedule SA  
Monterey Waste Water District Tariff Area  
ACTIVE SEWER SERVICE

Sheet 1

APPLICABILITY

Applicable to all Active Sewer Services.

TERRITORY

The systems at Las Palmas, Pasadera, Carmel Valley Ranch and Indian Springs

RATES

<u>Las Palmas:</u>	<u>Per Service Per Month</u>
Service Charge Residential .....	\$124.18
Service Charge for School (5 EDUs) .....	\$620.93
Service Charge for Small Office Park (6 EDUs) .....	\$745.14
Service Charge for Golf Course (4 EDUs) .....	\$496.76
 <u>Pasadera:</u>	
Service Charge Residential .....	\$124.18
 <u>Carmel Valley Ranch:</u>	
Service Charge Residential .....	\$124.18
Service Charge for Hotel (144 EDUs) .....	\$17,883.23
 <u>Indian Springs:</u>	
Service Charge for Residential and Small Commercial .....	\$124.18

SPECIAL CONDITIONS

FEES & SURCHARGES

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. Per Advice Letter 58-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.54 over 12 months effective September 22, 2019.
3. Per Advice Letter 53-S, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers. (C)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 59-S-A

Decision

ISSUED BY

J. T. LINAM

DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 11/12/2019

Effective 01/01/2020

Resolution \_\_\_\_\_

Schedule SA  
Monterey Waste Water District Tariff Area  
ACTIVE SEWER SERVICE

Sheet 3

APPLICABILITY

Applicable to the Society for the Prevention of Cruelty to Animals for Monterey County, Inc. ("SPCA") Sewer Service in the Pasadera area.

TERRITORY

The point of service connection between California American Water and SPCA in the Pasadera area.

RATES

<u>Contracted Property:</u>	<u>Service Charge per Month</u>	
SPCA (25 EDUs) .....	\$3,104.57	(l)

SPECIAL CONDITIONS

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. Per advice Letter 58-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.54 over 12 months effective September 22, 2019.
3. Per Advice Letter 53-S, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
4. Per D.18-12-021 a Sewer Tariff Rate based bill credit for the Excess Non Plant Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning August 1, 2019.

Sewer Tariff Area	Refunds by Rate Equivalents
Oak Hills, Spreckels, Village Greens, White Oaks - Passive (Residential)	\$0.60
Spreckels - Public Authority	\$0.90
Spreckels - Commercial	\$1.50
Carmel Valley Ranch, Indian Springs, Las Palmas, Pasadera - Active (Residential) Pasadera - Commercial	\$3.00
Spreckels - Industrial	\$4.79
Pasadera - Golf	\$8.99
Las Palmas - Public Authority	\$14.98
Las Palmas - Commercial	\$29.97
SPCA - Contracted Property	\$47.95
Carmel Valley Ranch - Hotel	\$68.93

(Continued)

(TO BE INSERTED BY UTILITY) Advice      59-S-A Decision	ISSUED BY J. T. LINAM DIRECTOR - Rates & Regulatory	(TO BE INSERTED BY C.P.U.C.) Date Filed <u>11/12/2019</u> Effective <u>01/01/2020</u> Resolution
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Schedule SP  
Monterey Waste Water District Tariff Area  
PASSIVE SEWER SERVICE

Sheet 4

APPLICABILITY

Applicable to all Passive Sewer Services.

TERRITORY

The systems at White Oaks, Spreckels, Village Green and Oak Hills

RATES

<u>Oak Hills:</u>		<u>Per Service Per Month</u>	
Service Charge for Residential and Small Commercial.....	\$54.55		(I)
<u>Spreckels:</u>			
Service Charge for Residential and Small Commercial.....	\$54.55		
Service Charge for School .....	\$81.81		
Service Charge for Large Commercial (4 or more Employees).....	\$109.09		
Service Charge for Industrial .....	\$327.28		
<u>White Oaks:</u>		<u>Per Service Per Month</u>	
Service Charge for Residential .....	\$54.55		
<u>Village Green:</u>			
Service Charge for Residential .....	\$54.55		(I)

SPECIAL CONDITIONS

FEES & SURCHARGES

1. All bills are subject to the Public Utilities Commission Reimbursement Fee set forth on Schedule No. UF.
2. Per Advice Letter 58-S, the under-collected balance in the Monterey Waste Water Consolidated Expense Balancing Account will be recovered through a monthly connection surcharge of \$0.54 over 12 months effective September 22, 2019.
3. Per Advice Letter 47-S-A, a surcharge of \$1.81 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 59-S-A	J. T. LINAM	Date Filed <u>11/12/2019</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>01/01/2020</u>
		Resolution _____

Schedule No. WW-LIRA (Continued)  
Monterey County Sewer Service  
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 1

APPLICABILITY

Applicable to all Active and Passive Sewer Services.

TERRITORY

The systems of Las Palmas, Pasadera, Carmel Valley Ranch, Indian Springs, White Oaks, Spreckels, Village Greens, and Oak Hills.

RATES

**Active Sewer Service**

<u>Las Palmas:</u>	<u>Per Service Per Month</u>	
Service Charge Residential.....	\$99.35	(l)
<u>Pasadera:</u>		
Service Charge Residential.....	\$99.35	
<u>Carmel Valley Ranch:</u>		
Service Charge Residential.....	\$99.35	
<u>Indian Springs:</u>		
Service Charge for Residential .....	\$99.35	(l)

**Passive Sewer Service**

<u>Oak Hills:</u>	<u>Per Service Per Month</u>	
Service Charge for Residential.....	\$43.64	(l)
<u>Spreckels:</u>		
Service Charge for Residential .....	\$43.64	
<u>White Oaks:</u>		
Service Charge for Residential .....	\$43.64	
<u>Village Greens:</u>		
Service Charge for Residential.....	\$43.64	(l)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice      59-S-A	J. T. LINAM	Date Filed <u>11/12/2019</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>01/01/2020</u>
		Resolution      _____

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Sheet 1

The following listed tariff sheets contain all effective rates and rules affecting the charges and service of the utility, together with other pertinent information:

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<u>Rate Schedules:</u>		
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Schedule No. SA – Active Sewer Service	251-S, 241-S, 252-S,	(C)
Schedule No. UF	212-S	
Schedule No. WW-LIRA	254-S, 210-S, 171-S, 172-S, 199-S	(C)
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No. 2 Description of Service	89-S, 13-S	
No. 3 Application for Service	14-S	
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No. 20 Limitation on Wastes Discharged into the Utility's Sewer System	46-S	
No. 21 Commercial, Institutional and Industrial Wastes	47-S	
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<u>Sample Forms:</u>		
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No. 5 Main Extension Contract (Individuals)	55-S	

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 59-S-A	J. T. LINAM	Date Filed <u>11/12/2019</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>01/01/2020</u>
		Resolution _____

**MONTEREY WASTEWATER COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 59-S-A**

**BY MAIL:**

	Los Angeles Docket Office California Public Utilities Commission 320 West 4th Street, Suite 500 Los Angeles, CA 90013	Monterey Peninsula Water Mgmt Dist. Chief Financial Officer P.O. Box 85 Monterey, CA 93942
Alco Water Service 249 Williams Road Salinas, CA 93901	Monterey Regional Water Pollution Control Agency (MRWPCA) 5 Harris Court Road. Bldg D. Monterey, CA 93940	City of Pacific Grove City Attorney/City Hall 300 Forest Ave 2nd floor Pacific Grove, CA 93950
Yazdan Emrani, P.E. Deputy Pub Works Director – Operations Monterey County DPW 168 W. Alisal Street, 2nd floor Salinas, CA 93901-2680	City of Pacific Grove c/o Community Development Department Attention: Sarah Hardgrave 300 Forest Ave., 2nd floor Pacific Grove, CA 93950	Deborah Mall, City Attorney City of Monterey 512 Pierce Street Monterey, CA 93940
Ann Camel City Clerk City of Salinas 200 Lincoln Avenue Salinas, CA 93901	City of Sand City City Hall California & Sylvan Avenues Sand City, CA 93955 Attn: City Clerk	Irvin L. Grant Deputy County Counsel County of Monterey 168 W. Alisal Street, 3rd floor Salinas, CA 93901-2680
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**MONTEREY WASTEWATER COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 59-S-A**

**BY E-MAIL:**

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Chief Financial Officer  
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County of Monterey  
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Laura L. Krannawitter  
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**MONTEREY WASTEWATER COUNTY DISTRICT SERVICE LIST**  
**CALIFORNIA-AMERICAN WATER COMPANY**  
**ADVICE LETTER 59-S-A**

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